

2827/1A

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**NEC 00USFP553**

In Re Application Of: **HONDA**

Serial No.  
**09/712,105**

Filing Date  
**November 14, 2000**

Examiner  
**THAI, LUAN C.**

Group Art Unit  
**2827**

Invention: **SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THE SAME**

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**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.


Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

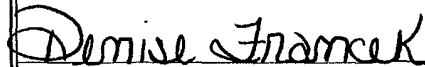
  
Signature

Dated: **August 30, 2002**

**Norman P. Soloway  
Reg. No. 24,315  
HAYES SOLOWAY P.C.  
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Tucson, AZ 85701**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: HONDA

SERIAL NO.: 09/712,105 ✓

FILED: November 14, 2000 ✓

FOR: SEMICONDUCTOR DEVICE AND MANUFACTURING OF...

GROUP: 2827

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with the above-entitled matter, Applicant hereby attaches U.S. Patent Office form PTO-1449, including copies of the prior art references listed therein. The references were cited in the Japanese Office Action dated June 6, 2002 of the underlying Japanese patent application. Enclosed is a copy of the Japanese Office Action, together with an English translation of the relevant portions thereof. The claims in the present application are believed to be patentably distinguished over these references.

This information disclosure statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(A) relies on a materiality concept which depends on subjectivity.

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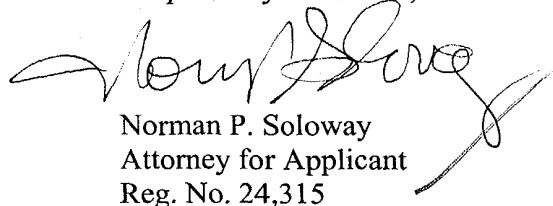
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In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designed in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an Office Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of foreign examiner or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted therewith.

The enclosed Information Disclosure Statement is being submitted within three months of the Japanese Office Action, as certified in the attached Certification for Information Disclosure Statement.

Form PTO-2038 authorizing credit card payment in the amount of \$180.00 to cover the fee under 37 CFR 1.97(d) is enclosed. In the event there are any fee deficiencies or additional fees are payable, please credit them, or credit any overpayment, to our Deposit Account No. 08-1391.

Respectfully submitted,



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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: BOX AF, Assistant Commissioner of Patents & Trademarks, Washington, D.C. 20231 on August 30, 2002, at Tucson, Arizona.

By Denise Franco

**HAYES SOLOWAY P.C.**

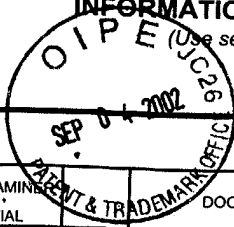
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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

NEC 00USFP553

SERIAL NO.

09/712,105

APPLICANT

HONDA

FILING DATE

November 14, 2000

GROUP

2827

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2001-077142	23.03.2001	JAPAN (w/ abstract)	H01L	21/60		✓
	2001-110828	04.20.2001	JAPAN (w/ abstract)	H01L	21/56		✓
	2000-306939	02.11.2000	JAPAN (w/ abstract)	H01L	21/60		✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.